## IAP13 Rec'd PCT/PTO 19 SEP 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES			ATTORNEY'S DOCKET NUMBER  865-B-PCT-US		
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371			U.S. APPLICATION NO (If known, see 37-CFR 1.5)		
	TIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED		
	JS2005/008967	March 18, 2005	March 19, 2004		
TITLE OF INVENTION FLEXIBLE DENTAL FLOSS APPLICATOR AND INTERDENTAL GUM STIMULATOR					
APPLICANT(S) FOR DO/EO/US					
Wai-Kuen LUI and William Wai-Shing LUI  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
`` <u>_</u>					
<ol> <li>This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.</li> <li>This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.</li> </ol>					
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.					
4. 🔽 -	The US has been elected (Article 31).				
5.	A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))			
	a. is attached hereto (required	d only if not communicated by the Internation	nal Bureau).		
	b.  has been communicated by	the International Bureau.			
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).				
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).				
	a. is attached hereto.				
	b.  has been previously submi	itted under 35 U.S.C. 154(d)(4).			
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))				
	a. are attached hereto (requi	ired only if not communicated by the Interna	tional Bureau).		
	b. have been communicated	by the International Bureau.			
	c. have not been made; how	vever, the time limit for making such amendr	nents has NOT expired.		
	d. have not been made and	will not be made.			
8. 🗆	An English language translation of the	ne amendments to the claims under PCT Ar	ticle 19 (35 U.S.C. 371(c)(3)).		
9. 🗹	An oath or declaration of the inventor	r(s) (35 U.S.C. 371(c)(4)).			
10. 🗌	An English language translation of th Article 36 (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary E	examination Report under PCT		
Items 11 to 20 below concern document(s) or information included:					
11. 🔽	An Information Disclosure Statement	t under 37 CFR 1.97 and 1.98.			
12. 🔲	An assignment document for recording	ng. A separate cover sheet in compliance w	ith 37 CFR 3.28 and 3.31 is included.		
13.	A preliminary amendment.				
14.	An Application Data Sheet under 37	CFR 1.76.			
15.	A substitute specification.				
16.	A power of attorney and/or change o	f address letter.	·		
17.	A computer-readable form of the seq	uence listing in accordance with PCT Rule	13 <i>ter.</i> 2 and 37 CFR 1.821- 1.825.		
18.	A second copy of the published Inter	national Application under 35 U.S.C. 154(d)	(4).		
19. 🗆	A second copy of the English langua	ge translation of the international application	n under 35 U.S.C. 154(d)(4).		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## IAP16 Rec'd PCT/PTO 19 SEP 2006

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Not Yet Room 15 9 3 1	NLY
August 2, 2005 PCT International Search Report August 2, 2005 PCT Written Opinion of the International Searching Authority  The following fees have been submitted 21. Basic national fee (37 CFR 1.492(a))	NLY
August 2, 2005 PCT Written Opinion of the International Searching Authority  The following fees have been submitted  21. Basic national fee (37 CFR 1.492(a))	NLY
The following fees have been submitted  21.	NLY
21. Examination fee (37 CFR 1.492(a))	NLY
22.	
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  All other situations	
by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)	
All other situations	
If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority	
IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)	
International Searching Authority	
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB	
All other situations	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number)  36 - 100 = 0 /50 = x \$250 \$0.00	
sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number)  36 - 100 = 0 /50 = x \$250 \$0.00	
electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number)  36 - 100 = 0 /50 = x \$250 \$0.00	
Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number)  RATE  x \$250  \$ 0.00	
thereof (round up to a whole number)  36 - 100 = 0 /50 = x \$250 \$ 0.00	
30 - 100 -   0 /30 -     1 3230	
Ourselves CAADOOD C C 111 CH	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).	
CLAIMS NUMBER FILED NUMBER EXTRA RATE \$	
Total claims 21 - 20 = 1 x \$ 50 \$ 50.00	
independent claims 3-3= 0 x \$200 \$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 \$ 0.00	
TOTAL OF ABOVE CALCULATIONS = \$ 950.00  Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.	
SUBTOTAL = \$ 475.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest	-
claimed priority date (37 CFR 1.492(i)).	
TOTAL NATIONAL FEE = \$ 475.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +	
TOTAL FEES ENCLOSED = \$ 475.00	
Amount to be refunded: \$ 0.00	
Amount to be charged \$ 0.00	

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<ul> <li>a. A check in the amount of \$_\$475.00</li></ul>	to cover the above fees.			
be included on this form. Provide credit card information and authoriza	tion on PTO-2038.			
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed				
and granted to restore the International Application to pending status.				
SEND ALL CORRESPONDENCE TO:	albert was kie Chen			
Law Offices of Albert Wai-Kit Chan, LLC	SIGNATURE			
World Plaza, Suite 604 141-07 20th Avenue, Whitestone, NY 11357	Albert Wai-Kit Chan			
Tel: 718-799-1000	NAME			
Fax: 718-357-8615	36,479			
Fax: 716-357-6615	REGISTRATION NUMBER			
•				

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Dkt. #865-B-PCT-US

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE /593701

Applicants : Wai-Kuen LUI and William Wai-Shing LUI

U.S. Serial No.: Not Yet Known, National Stage Application

of International Application No. PCT/US2005/008967, Filed March 18, 2005, claiming priority of U.S. Serial No. 60/554,636, filed March 19, 2004, and U.S. Serial No. 60/602,893, filed August 19,

2004

Filed : Herewith

FOR : FLEXIBLE DENTAL FLOSS APPLICATOR AND

INTERDENTAL GUM STIMULATOR

Law Offices of Albert Wai-Kit Chan, LLC

World Plaza, Suite 604 141-07 20<sup>th</sup> Avenue Whitestone, NY 11357

September 19, 2006

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir/Madam:

#### EXPRESS MAIL CERTIFICATE OF MAILING IN CONNECTION WITH THE ABOVE-IDENTIFIED APPLICATION

"Express Mail" mailing label number: EV 766839015 US

Date of Deposit: September 19, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450 A Alexandria, VA 22313-1450.

Printed Name: Janet Hiller

Respectfully submitted,

Albert Wai-Kit Chan

Reg. No. 36,479 Attorney for Applicants Law Offices of

Albert Wai-Kit Chan, LLC World Plaza, Suite 604

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